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Remarks on a theorem of Swarup on ends of pairs of groups

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Abstract

We give an algebraic proof of a recent theorem of Swarup, which states that if H is a subgroup of infinite index in a finitely generated group G and if e(G, N) = 1 for all subgroups $N \triangleleft H$ with $H/N \cong \mathbb{Z}$, then $e(G, H) = 1 + \operatorname{rank} H^1(G, \mathbb{Z}[H \setminus G])$. We also consider some generalizations of this theorem.

1. Introduction

Throughout, G denotes a group and H denotes a subgroup of infinite index. Our main interest is the study of the number of ends e(G, H) of the pair (G, H).

We briefly recall some definitions. A subset E of G is called H-finite if it is contained in a finite union of right cosets of H, that is $E \subseteq HF$ for some finite $F \subseteq G$. The symmetric difference of two subsets E and E' is denoted by E + E'. We say that E is H-almost invariant if and only if E + Ex is H-finite for all $x \in G$. The ends of the pair (G, H) correspond, in effect, to the H-almost invariant subsets E which satisfy E = HE and which are not H-finite. We refer the reader to [1, 2] for a more detailed account.

1.1. Let E be an H-almost invariant subset of G and let $N = \{h \in H | hE = E\}$. Suppose that the following conditions hold.

(1) N is a normal subgroup of H;

- (2) $hE \cap E = \emptyset$, for all $h \in H \setminus N$; and
- (3) HE = G.

Then $e(G, N) \ge |H:N|$.

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Proof. First note that for any $x, y \in G$, $Ex \cap Hy$ is contained in single coset of N. To see this, suppose given $z, z' \in Ex \cap Hy$. Then $z'z^{-1}$ belongs to H and also $z'z^{-1}E \cap E$ contains $z'x^{-1}$ and so is non-empty. Hence $z'z^{-1}$ belongs to N.

Now for any $x \in G$, E + Ex is *H*-finite, and so it follows from the above that it is actually *N*-finite. Hence *E* is *N*-almost invariant. Moreover, *E* is not *N*-finite, for otherwise G = HE would be *H*-finite, contradicting the assumption that *H* has infinite index in *G*. Since *N* is normal in *H*, each of the subsets *hE*, for $h \in H$, corresponds to an end of the pair (*G*, *N*) and since these sets are in natural bijective correspondence with H/N we have $e(G, N) \ge |H:N|$. \Box

2. From derivations to almost invariant sets

For a group A we write C(A) for the set of functions from G to A which are constant on left cosets gH of H, and we write I(A) for the subset of C(A) consisting of functions which are supported on finitely many left cosets. C(A) and I(A) are themselves groups under pointwise multiplication, and admit an action of G given by $\phi^g(g') = \phi(gg')$ for $\phi \in C(A)$ and g, $g' \in G$. A derivation $\delta: G \to I(A)$ is a function satisfying $\delta(gg') = (\delta g)^{g'}(\delta g')$. For such a derivation, we write δ^* for the function from G to A defined by

$$\delta^*(g) \coloneqq \delta g(1)$$

In general, δ^* is not a homomorphism, but it does at least satisfy the following.

2.1. For all $g \in G$ and $h \in H$,

 $\delta^*(gh) = \delta^*(g)\delta^*(h).$

For each subset Y of A, let E_Y be the subset of G defined by

$$E_Y := \{g \in G \mid \delta^*(g^{-1}) \in Y\}.$$

2.2. For all $Y \subseteq A$, E_Y is H-almost invariant.

Proof. Fix Y. For convenience, we write $E := E_Y$. We must show that for all $x \in G$, the symmetric difference E + Ex is *H*-finite. For $g \in G$, g belongs to E + Ex if and only if exactly one of g and gx^{-1} belongs to E, or equivalently, if and only if exactly one of $\delta^*(g^{-1})$ and $\delta^*(xg^{-1})$ belongs to Y. Since $\delta^*(xg^{-1}) = \delta x(g^{-1})\delta^*(g^{-1})$ it follows that $\delta x(g^{-1})$ is non-trivial for every $g \in E + Ex$. Hence $E + Ex \subseteq (\operatorname{supp}(\delta x))^{-1}$ and this is *H*-finite as required. \Box

2.3. For all $h \in H$ and $Y \subseteq A$,

$$hE_Y = E_{Y(\delta^*h)^{-1}}.$$

Proof. Let g be any element of G. Then g belongs to hE if and only if $\delta^*(g^{-1}h) \in Y$. Since $\delta^*(g^{-1}h) = \delta^*(g^{-1})\delta^*(h)$ by (2.1), it follows that

$$hE = \{g \in G \,|\, \delta^*(g^{-1}) \in Y(\delta^*h)^{-1}\} = E_{Y(\delta^*h)^{-1}}$$

as required.

2.4. For subsets Y and Y' of A, $E_Y \cap E_{Y'} = E_{Y \cap Y'}$.

2.5. Let N denote the kernel of $\delta^*|_H$. Then $e(G, N) \ge |H:N|$.

Proof. Let *B* denote the image of $\delta^*|_H$ and let *Y* be a transversal to *B* in *A*, so that *A* is the disjoint union of the cosets *yB*, $y \in Y$. If $h \in H$ and $\delta^*h = b$ then (2.3) shows that $hE_Y = E_{Yb^{-1}}$. If *b* is non-trivial then $Y \cap Yb^{-1} = \emptyset$ and it follows from (2.4) that $E_Y \cap E_{Yb^{-1}} = \emptyset$. It also follows that $N = \{h \in H | hE_Y = E_Y\}$. Thus, the result follows by applying (1.1) with $E := E_Y$. \Box

3. Swarup's theorem and generalizations

Throughout this section we assume that G is finitely generated, and we let A denote an abelian group, written additively. In this case, I(A) and C(A) can be identified with the induced and coinduced modules $\operatorname{Ind}_{H}^{G}(A)$ and $\operatorname{Coind}_{H}^{G}(A)$. The inclusion of I(A)into C(A) induces a map

$$\rho: H^1(G, I(A)) \to H^1(G, C(A)).$$

We refer the reader to [2, 3] for details concerning the next lemma. This result depends on G being finitely generated and on H having infinite index.

3.1. Let A be either a prime field or a subring of \mathbb{Q} . Then $e(G, H) = 1 + \operatorname{rank} \operatorname{Ker} \rho$

Using the Shapiro-Eckmann lemma, $H^1(G, C(A))$ can be identified with Hom(H, A), and through this identification the map ρ is given by

$$\rho[\delta] = \delta^*|_H,$$

where $\delta: G \to I(A)$ is a derivation representing a cohomology class $[\delta] \in H^1(G, I(A))$. Swarup's theorem [3] now follows at once by combining the above with (2.5).

3.2. (Swarup's theorem). Suppose that e(G, N) = 1 for all $N \triangleleft H$ with $H/N \cong \mathbb{Z}$. Then $e(G, H) = 1 + \operatorname{rank} H^1(G, I(\mathbb{Z}))$.

Proof. In view of (3.1), it suffices to show that $\rho: H^1(G, I(\mathbb{Z})) \to \text{Hom}(H, \mathbb{Z})$ is trivial. Let $\delta: G \to I(\mathbb{Z})$ be a derivation. Then (2.5) shows that e(G, N) = |H:N|, where $N = \text{Ker } \delta^*|_H$. If $\delta^*|_H$ is non-trivial then H/N is isomorphic to \mathbb{Z} and $e(G, N) = \infty$, contrary to hypothesis. Therefore $\rho[\delta] = \delta^*|_H$ is trivial for all δ . \Box We also have the following generalization.

3.3. Suppose that the image of $\rho: H^1(G, I(\mathbb{Z})) \to \text{Hom}(H, \mathbb{Z})$ has rank $n \ge 1$. Then there is a normal subgroup N of H such that $H/N \cong \mathbb{Z}^n$ and $e(G, N) = \infty$.

Proof. Choose derivations $\delta_1, \ldots, \delta_n: G \to I(\mathbb{Z})$ so that $\delta_1^*|_H, \ldots, \delta_n^*|_H$ are linearly independent elements of Hom (H, \mathbb{Z}) . Now let A be \mathbb{Z}^n and let δ be the derivation from G to I(A) defined by

 $\delta g(g') = (\delta_1 g(g'), \dots, \delta_n g(g')).$

Let N be the kernel of $\delta^*|_H: H \to A$. Then $H/N \cong \mathbb{Z}^n$ and (2.5) shows that $e(G, N) = \infty$. \Box

Working over finite fields one can obtained similar results by the same arguments.

3.4. Let \mathbb{F}_p denote the Galois field of p elements where p is a prime. If e(G, N) = 1 for all $N \triangleleft H$ with |H:N| = p, then $e(G, H) = 1 + \operatorname{rank} H^1(G, I(\mathbb{F}_p))$.

3.5. Suppose that the image of $\rho: H^1(G, I(\mathbb{F}_p)) \to \operatorname{Hom}(H, \mathbb{F}_p)$ has rank $n \ge 1$. Then there is a normal subgroup N of H such that H/N is an elementary abelian p-group of rank n and $e(G, N) \ge p^n$.

4. Concluding remark

In [1] a new end invariant $\tilde{e}(G, H)$ was introduced and it is easy to see that

 $e(G, H) \ge 2 \Rightarrow H^1(G, I(\mathbb{F}_2)) \neq 0 \Rightarrow \tilde{e}(G, H) \ge 2.$

Swarup's theorem, and the results of this paper provide a sharpening of the second implication here, by giving more precise information about how non-zero elements of $H^1(G, I(\mathbb{F}_2))$ contribute to $\tilde{e}(G, H)$.

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